

DOCUMENTS REQUIRED ALONG WITH APPLICATION FORM **FOR INDIVIDUALS**

1. Identity proof
2. Address proof
3. Self attested copies of the qualification certifications. This is required as proof for meeting the eligibility criteria.
4. Copy of assets and liabilities statement and certification of net tangible assets certified by a chartered accountant. Make sure that the certificate is not more than six months old at the time of filing of application. Please note that membership number of the Chartered accountant must be included in the certificate.
5. Copy of Income tax return / form 16 for the last 3 years
6. A credit report/ score from CIBIL
7. Shops and Establishment License / Gumastha License in case of proprietary firms.
8. Proof for office address

DOCUMENTS REQUIRED ALONG WITH APPLICATION FORM FOR COMPANIES

1. Shareholding pattern
2. Profile of all the directors
3. Identity proof and address proof of the directors
4. Self attested copies of the documents related to qualification and certification of representatives
5. Net worth certificate by a chartered accountant, not more than six months old.
Please note that membership number of the chartered accountant must be included in the certificate
6. A credit report/ score from CIBIL
7. In case of an Authorized signatory signing the form, an authorization letter from the Directors of the company
8. Proof for office address

DOCUMENTS REQUIRED ALONG WITH APPLICATION FORM FOR PARTNERSHIP FIRM / LIMITED LIABILITY PARTERSHIP (LLP)

1. Name and beneficial ownership pattern of the partners engaged or proposed to be engaged in investment advice
2. Identity proof of all partners
3. Address proof of all partners
4. Self attested copies of the documents related to qualification and certification of partners
5. Copy of assets and liabilities statement and certification of net tangible assets of the partnership firm certified by a chartered accountant, not more than six months old. Please note that membership number of the chartered accountant must be included in the certificate
6. A credit report/ score from CIBIL
7. In case of an Authorized signatory signing the form, an authorization letter from the Designated Partners of the firm
8. Proof for office address